

Please complete this form to transfer your existing ISA to a Capital Protected ISA.

Once you have completed this application form please send to: HSBC Trust Company (UK) Limited, Frobisher House, Nelson Gate, Commercial Road, Southampton, SO15 9DF. Telephone enquiries: 0800 289 505. Lines are open 9am to 5pm Monday to Friday (excluding public holidays). To help us continually improve our service and in the interests of security, we may monitor and/or record your communications with us.

Important: Completed transfer forms must be received at the above address no later than 2.00pm on the transfer deadline stated on the current Capital Protected Plan and ISA Key Features Document. We will be unable to accept any transfer applications received after this date/time.

IMPORTANT: Please provide details of the Fund Code of the Fund you wish to transfer to. This can be found on the front cover of your Key Features Document. We cannot process your application without this code.

1. Your Personal Details

Please refer to the Your Information section of the Capital Protected ISA Terms and Conditions which explains how we will use this information.

Sex Male Female

Title Mr Mrs Miss Ms Dr Other (please specify)

Surname

First name(s)

Permanent Residential Address
 Postcode

Daytime tel. no. Evening tel. no.

Date of Birth

Do you have a National Insurance Number? Yes No

If you have a temporary National Insurance Number please tick 'No'

National Insurance Number*

* You should be able to find your NI Number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or a pension order book.

Do you hold one of our Plans or Stocks and Shares ISAs? * 2

Are you permanently resident outside the UK? Yes No

If yes, you may need to complete a Non Resident Regulatory Details form, which is available from your local HSBC Bank branch. Alternatively you can call us on the number above.

2. Your existing ISAs

I apply to transfer my existing ISA(s) as detailed below, to a Capital Protected ISA, which is a stocks and shares ISA offered by HSBC Trust Company (UK) Limited:

Existing account manager*	<input type="text"/>
Account 1 Existing ISA number	<input type="text"/>
Account 2 Existing ISA number	<input type="text"/>
Account 3 Existing ISA number	<input type="text"/>
Account 4 Existing ISA number	<input type="text"/>
Account 5 Existing ISA number	<input type="text"/>
Account 6 Existing ISA number	<input type="text"/>
Account 7 Existing ISA number	<input type="text"/>
Account 8 Existing ISA number	<input type="text"/>

* If you wish to transfer an ISA from more than one existing account manager to us, you will need to complete a photocopy of this section of this ISA Transfer Application Form for each additional manager.

Please complete a separate ISA Transfer Authority (attached) for each ISA you wish to transfer.

3. Declaration and Signature

I apply to transfer my existing ISA, as indicated in section 2, to a Capital Protected ISA (Stocks and Shares) held with HSBC Trust Company (UK) Limited.

I declare that:

- ▶ I have received the relevant Simplified Prospectus and Key Features Document and agree to the Capital Protected ISA Terms and Conditions
- ▶ This form has been completed to the best of my knowledge and belief and I will notify HSBC Trust Company (UK) Limited without any delay of any changes in my circumstances affecting any of the information given in this application. Where the information I have supplied relates to other people, I declare that I am authorised by them to disclose that information and to accept the Terms on their behalf
- ▶ I am 18 years of age or over

I authorise HSBC Trust Company (UK) Limited:

- ▶ To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- ▶ To make on my behalf any claims to relief from tax in respect of ISA investments

Note: If you are not the applicant, but are signing on behalf of the applicant, please enter the legal capacity in which you are signing this form. If you are applying for an ISA transfer for someone else, please note that you are only entitled to sign this form under the following circumstances:

1. If the applicant is mentally or physically incapacitated and you are the applicant's appointed attorney then a Property and Affairs Lasting Power of Attorney must be registered with the Office of the Public Guardian. (Enduring Powers of Attorney made prior to 1st October 2007 must be registered with the Court of Protection). Alternatively you may be appointed as a deputy by the Court of Protection. Please complete the boxes below. A certified copy of the Power of Attorney and a stamped copy of the Court of Protection Order will be required in order to process the application
2. Or you have a valid Power of Attorney granted for convenience. A certified copy of the Power of Attorney will be required and must have been granted within the last twelve months.

If you are signing the form as attorney because the applicant is physically incapacitated, please tick this box

If you are signing the form as attorney because the applicant is mentally incapacitated, please tick this box

If you are signing the form as a attorney granted for convenience, please tick this box

Name:

Capacity:

Information about Products, Services and Promotions

If you agree, the HSBC Group may use and share relevant information about you, your transactions and your relationships with the HSBC Group, to give you information about products, services (including mortgages) and promotions available from HSBC Group companies and those of selected third parties which may interest you by post, telephone, electronic and other means.

The HSBC Group may also exchange, analyse and use relevant information about you in the way described above to ensure that promotional content displayed to you on screen when you log on to HSBC Group websites is more likely to be relevant and of interest.

If you do not want us to contact you about such products and services or use what we know about you to help decide what we display to you on our websites, please let us know.

By completing this application you will be consenting to the use of your information for this unless you tick the appropriate box(es) below to indicate that you do not wish to receive such information;

No post No email No mobile messaging No telephone

By signing this application, you agree that we can use your information in the way set out above and in the Capital Protected ISA Terms and Conditions.

Signature of Investor



Date

4. Agency Section (for completion by Professional Adviser only)

I confirm that all money laundering identification and verification checks have been completed on this client in accordance with the 2007 Joint Money Laundering Steering Group (JMLSG) Guidance Notes and that a completed identification Verification Certificate is enclosed/has already been submitted to HSBC Trust Company (UK) Limited (one per applicant). Please note that your application cannot be processed without this document.

Professional Advisor Signature



Date

Company name
Company Address
 Postcode
VAT Registration no. Tel. number
FSA no.

A	AGENTS STAMP
B	
C	
D	
COMMISSION CODES (PLEASE TICK APPROPRIATE BOX) UNLESS STANDARD COMMISSION APPLIES	

Categorisation of Clients

Retail Per Se Professional Per Se Eligible Counterparty Elective Professional Elective Professional Counterparty

For both Cash and Stocks and Shares ISAs

Where a period of notice is required for closure / part transfer of the existing ISA, I give my consent to either: (tick as appropriate)

1. Serve the full notice period before this instruction can be processed

If you select this option, please be aware that we must receive the transfer in cash before the end of the Limited Issue Period.

OR

2. Proceed immediately with the transfer and bearing any consequential penalty which may be applied.

Authority


Signature

Date

Transfer acceptance (to be completed by new ISA manager)

In circumstances where the funds to be transferred for the current Tax Year subscriptions exceed [£.....], please notify me as I may not be able to accept the transfer. Otherwise I (HSBC Trust Company (UK) Limited) am willing to accept this investor's ISA funds, subject to HMRC rules (the ISA Regulations). I deem the date shown below to be the transfer date of this ISA.


Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Telephone	<input type="text"/> See covering letter
Name	<input type="text"/> HSBC Investment Services Transfer Team		
Address	<input type="text"/> Frobisher House, Nelson Gate		
	<input type="text"/> Commercial Road		
	<input type="text"/> Southampton	Postcode	<input type="text"/> SO15 9DF



Any questions?

Please call your Professional Adviser or our Investor Services Team free on 0800 289 505 (weekdays 9am – 5pm).

To help improve our service and in the interest of security we may record and/or monitor your communications with us.



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